



PATENT  
Attorney Docket No. (0320-0017) HOOV 117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven, et al.

Serial No.: 10/015,868

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE  
WITH THIN ELECTRODES

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INFORMATION DISCLOSURE STATEMENT  
FILED WITH REQUEST FOR CONTINUED EXAMINATION

Pursuant to 37 C.F.R. §1.114, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents, except U.S. Application 2002/0091384 A1, is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application. U.S. Application 2002/0091384 A1 is the published application of U.S. Patent

Application Serial No. 10/032,378, and thus was not enclosed in Application Serial No. 10/032,378. Accordingly, a copy of U.S. Application 2002/0091384 is provided with this Request for Continued Examination.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Request for Continued Examination is being filed after the mailing date of after the Notice of Allowance. Accordingly, a check for the amount of \$375.00, the fee for the submission of this Request for Continued Examination, is contained along with this cover letter and the Information Disclosure Statement. Additionally, authorization is hereby given to charge any additional fees or credit any overpayments to Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: September 10, 2003

By: 

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Attorneys for Applicant

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 3

**Complete if Known**

Application Number	10/015,868
Filing Date	December 12, 2001
First Named Inventor	Michael D. Hooven
Art Unit	3739
Examiner Name	Rosiland S. Kearney
Attorney Docket Number	0320-0017 (HOOV 117)

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 2,004,559	06-11-1935	Wappler, et al.	
	2	US- 3,470,875	10-07-1969	Johnson, et al.	
	3	US- 4,312,337	01-26-1982	Donohue, et al.	
	4	US- 5,033,477	07-23-1991	Chin, et al.	
	5	US- 5,071,428	12-10-1991	Chin et al.	
	6	US- 6,083,222	07-04-2000	Klien, et al.	
	7	US- 6,096,037	08-01-2000	Mulier, et al.	
	8	US- 6,443,970	09-03-2002	Schulze, et al.	
	9	US- 2002/0120263 A1	08-29-2002	Brown, Tony R. et al	
	10	US- 2003/0004507 A1	01-02-2003	Francischelli, et al	
	11	US- 2003/0073991 A1	04-17-2003	Francischelli, et al	
	12	US- 6,517,536	02-11-2003	Hooven, Michael D.	
	13	US- 6,546,935	04-15-2003	Hooven, Michael D.	
	14	US- 2003/0125729 A1	07-03-2003	Hooven, Michael D.	
	15	US- 2003/0093068 A1	03-15-2003	Hooven, Michael D.	
	16	US- 2003/0032952 A1	03-13-2003	Hooven, Michael D.	
	17	US- 2003/0018329 A1	01-23-2003	Hooven, Michael D.	
	18	US- 2002/0107514 A1	08-08-2002	Hooven, Michael D.	
	19	US- 2002/0115993 A1	08-22-2002	Hooven, Michael D.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	20	WO 02 / 087454 A1	11-07-2002	Medtronic, Inc.		

Examiner  
SignatureDate  
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 3

**Complete if Known**

Application Number 10/015,868  
 Filing Date December 12, 2001  
 First Named Inventor Michael D. Hooven  
 Art Unit 3739  
 Examiner Name Rosiland S. Kearney  
 Attorney Docket Number 0320-0017 (HOOV 117)

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		Number-Kind Code <sup>2</sup> (if known)			
	21	US- 2002/0120316 A1	08-08-2002	Hooven, Michael D.	
	22	US- 2002/0103484 A1	08-01-2002	Hooven, Michael D.	
	23	US- 2002/0091384 A1	07-11-2002	Hooven, Michael D.	
	24	US- 2002/0091383 A1	07-11-2002	Hooven, Michael D.	
	25	US- 2002/0091382 A1	07-11-2002	Hooven, Michael D.	
	26	US- 2002/0032440 A1	03-14-2002	Hooven, Michael D.	
	27	US- 2001/0031961 A1	10-18-2001	Hooven, Michael D.	
	28	US- 6,488,680	12-03-2002	Francischelli et al.	
	29	US- 6,267,761	07-31-2001	Ryan	
	30	US- 6,273,887	08-14-2001	Yamauchi et al.	
	31	US- 6,296,640	10-02-2001	Wampler et al.	
	32	US- 6,391,024	05-21-2002	Sun et al.	
	33	US- 2001/0039419 A1	11-08-2001	Francischelli et al.	
	34	US- 6,277,117	08-21-2001	Tetzlaff et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	35	WO 01/82812 A1	11-08-2001	Medtronic, Inc.		
	36	WO 01/82813 A2	11-08-2001	Medtronic, Inc.		
	37	WO 01/82813 A3	11-08-2001	Medtronic, Inc.		

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>C mplet if Known</b>	
Application Number				10/015,868	
Filing Date				December 12, 2001	
First Named Inventor				Michael D. Hooven	
Group Art Unit				3739	
Examiner Name				Rosiland S. Kearney	
Attorney Docket Number				HOOV 117 (0320-0017)	

[illegible]

Examiner Signature		Date Considered	
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